



UNITED STATES PATENT AND TRADEMARK OFFICE

GB

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/676,181	09/29/2000	Mary Anne Alvin	00P7968US	1545

7590 02/25/2004
Elsa Keller
Siemens Corporation
186 Wood Avenue South
Iselin, NJ 08830

EXAMINER

HEINRICH, SAMUEL M

ART UNIT PAPER NUMBER

1725

DATE MAILED: 02/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/676,181

Applicant(s)

ALVIN, MARY ANNE

Examiner

Samuel M Heinrich

Art Unit

1725

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-12 and 19 is/are rejected.
- 7) ☒ Claim(s) 13-18 is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date ____.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: ____.

DETAILED ACTION

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 2-4 and 11 recite the limitations "said oxidative resistive layer" or "said oxide resistive layer" in base claim 1 or in intermediate claim 2. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by USPN 5,453,108 to Isaksson et al. Isaksson et al describe hot gas filtration apparatus (DETX (20)) "filter elements may be coated ... catalytic material".

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2, 3, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over USPN 5,453,108 to Isaksson et al as applied to claim 1 above and further in view of USPN 5,460,637 to Connolly et al. Connolly et al describe (BSTX (10)) the use of "mullite". The use thereof in the filter apparatus described by Isaksson et al would have

Art Unit: 1725

been obvious at the time applicant's invention was made to a person having ordinary skill in the art because the mullite is described by Connolly et al as a known filter construction material.

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over USPN 5,453,108 to Isaksson et al as applied to claim 1 above, and further in view of USPN 6,273,925 to Alvin et al. Alvin et al describe (DETX (13)) the use of "alumina" as a coating layer. The use of alumina in the Isaksson et al filter would have been obvious at the time applicant's invention was made to a person having ordinary skill in the art because the alumina is a well known protective layer.

Claims 5-10 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over USPN 5,453,108 to Isaksson et al as applied to claim 1 above, and further in view of USPN 5,944,859 to Lippert et al. Lippert et al describe (DETX (20)) "ceramic felt mat, continuous fibers, reticulated foam monolithic ceramic thin filter, or ceramic composite thin filter". The use of instant claimed forms and shapes would have been obvious at the time applicant's invention was made to a person having ordinary skill in the art because Lippert et al and Isaksson et al are well known hot gas filter structures.

Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over USPN 5,453,108 to Isaksson et al as applied to claim 1 above, and further in view of USPN 6,110,860 to Inoue et al. Inoue et al describe (BSTX (4)) well known catalyst for gas purification "consisting of metal oxide or the like". The use of the well known gas purification catalyst in the Isaksson et al apparatus would have been obvious at the time

Art Unit: 1725

applicant's invention was made to a person having ordinary skill in the art because the material is well known as a filter catalyst.

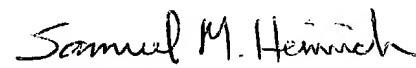
Allowable Subject Matter.

Claims 13-18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Samuel M Heinrich whose telephone number is 571-272-1175. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas G Dunn can be reached on 571-272-1171. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Samuel M Heinrich
Primary Examiner
Art Unit 1725

SMH